

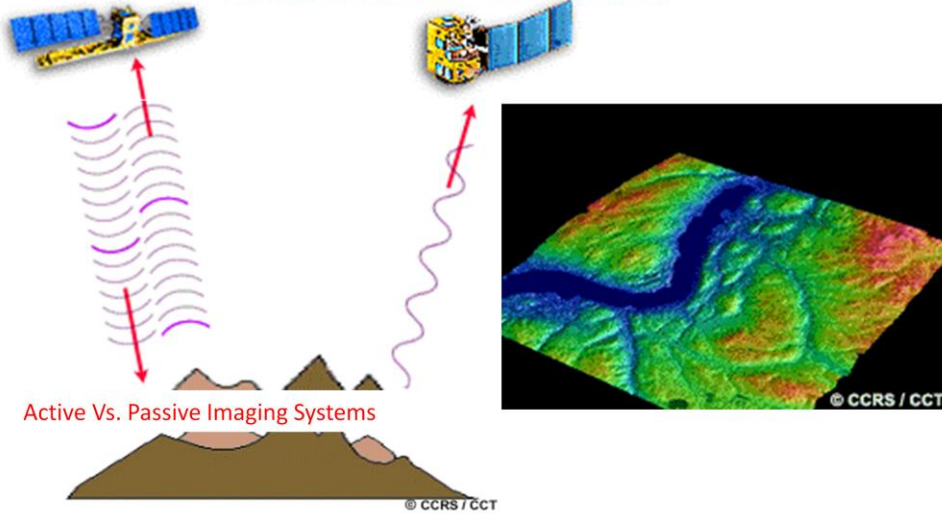
Iran's military site in Parchin



<http://news.yahoo.com/photos/un-atomic-agency-suspects-iran-conducted-nuclear-warhead-photo-115115817.html>

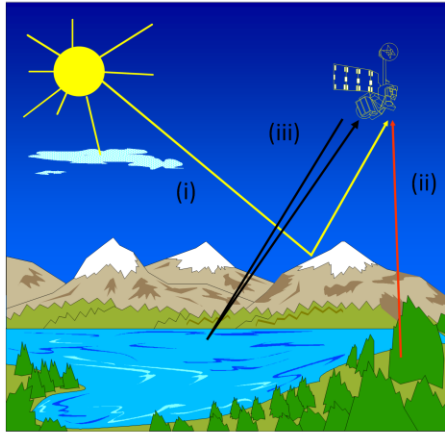
Satellite image received courtesy of the Institute for Science and International Security, shows Iran's military site in Parchin. The UN atomic agency suspects Iran has conducted nuclear warhead design experiments at its military facility in Parchin. The UN atomic agency has sought fresh thinking in its impasse with Iran after two fruitless visits probing Tehran's suspected nuclear weapons drive. (AFP Photo/)

Lecture 18: LiDAR Principles



Active Sensors: LiDAR Principles Chapter 10
February 8, 2012

What is an active sensor?



Chuvieco,2002

Passive instruments

- (i) Reflection
- (ii) Emission

Active instruments

- (iii) Emission-Reflection

Ex. LiDAR, Sounders, Radar

Question to students what is an active sensor?

LiDAR Definition

- **LiDAR**: Light Detection and Ranging
- **Laser**: Light Amplification of Stimulated Emission of Radiation
- **Active sensor**: emits high energy pulses of short wavelength (R or NIR) light highly collimated: coherent and polarized
- Measures the distance to the intercepted point on the ground based on the time delay between an emitted and received laser pulse

Laser characteristics

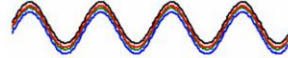
Collimated: laser emission is a highly directional beam



Coherent: the photons are in phase



incoherent



coherent

Polarized: electric vectors are aligned (usually linear)



unpolarized



linearly polarized

D.Philpot, Cornell University, 2003

Example with laser pointer

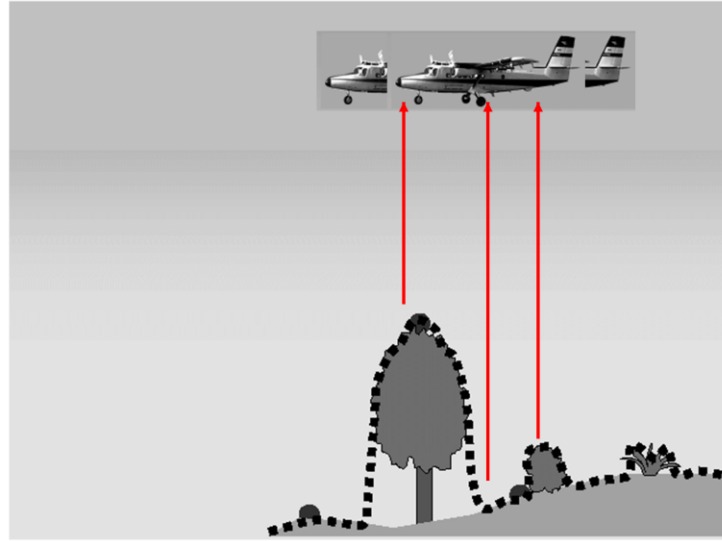
How does Lidar work?

Travel Time:

$$t = 2 \frac{R}{c}$$

Range (distance):

$$R = \frac{1}{2}tc$$

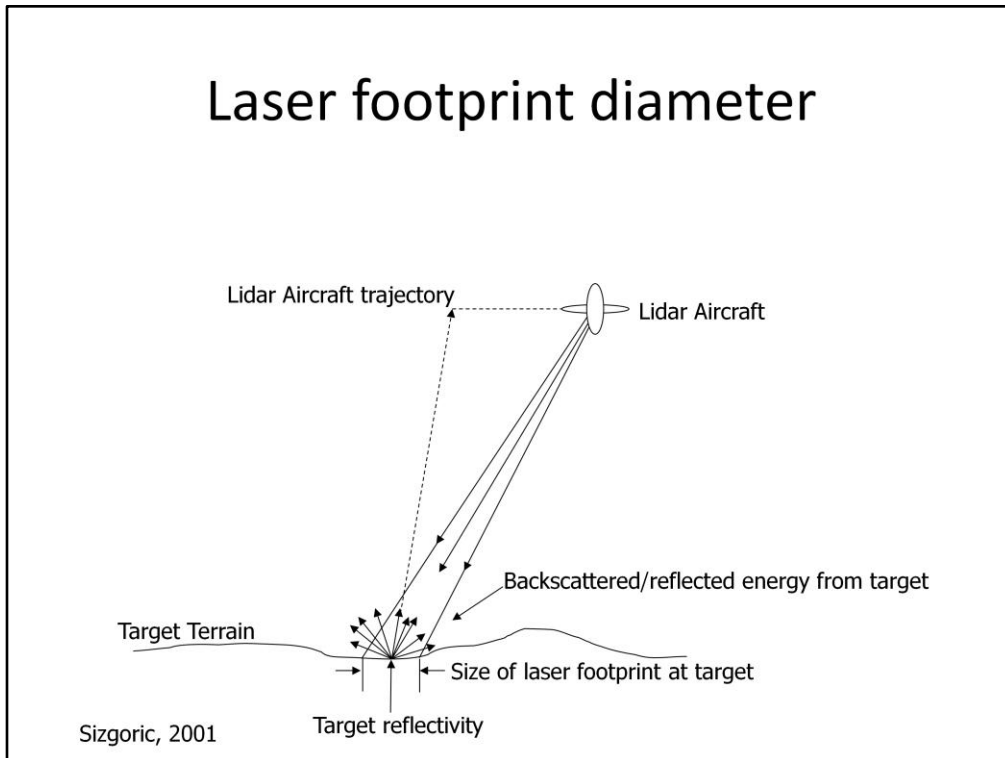


From airplane or satellite space shuttle:

LIDAR systems can emit pulses at rates $>100,000$ pulses per second referred to as *pulse repetition frequency*. A pulse of laser light travels at c , the speed of light ($3 \times 10^8 \text{ m s}^{-1}$). LIDAR technology is based on the accurate measurement of the laser pulse travel time from the transmitter to the target and back to the receiver. The *traveling time* of a pulse of light, t , is: $t = 2 * R/c$

where R is the range (distance) between the LIDAR sensor and the object. The range, R is determined by rearranging the equation:

Laser footprint diameter



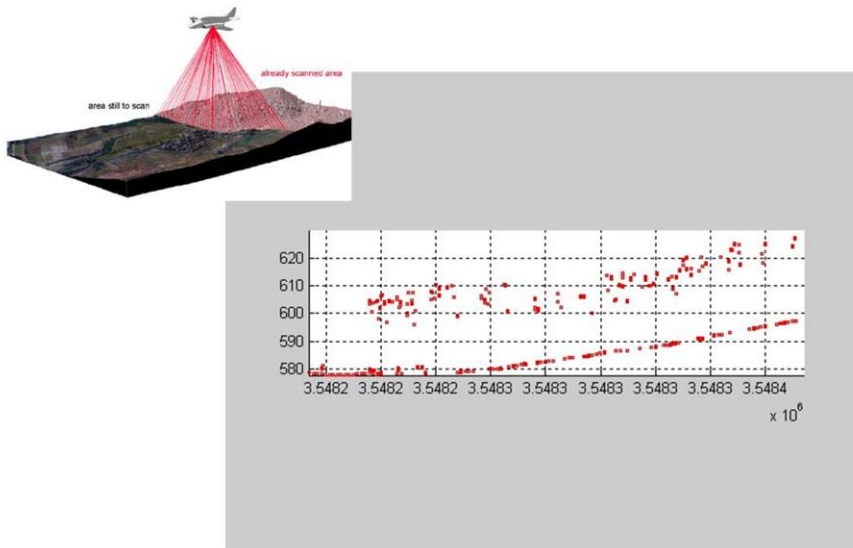
Mention beam divergence

Lambertian surface, but is not perfect

What would happen if it is not Lambertian?

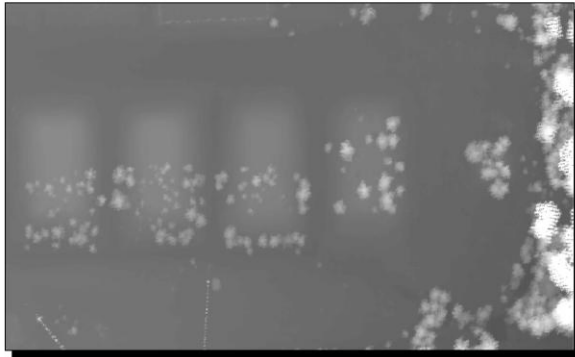
We will not get any response back to the receiver

Lidar Scanning Method

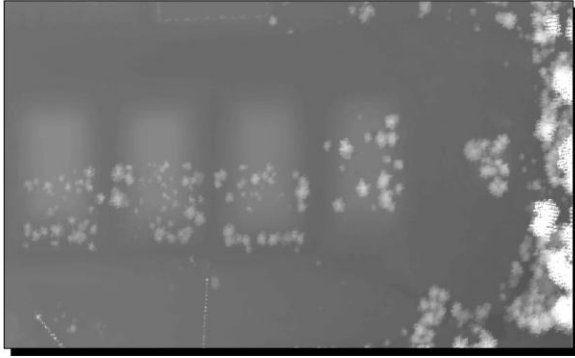




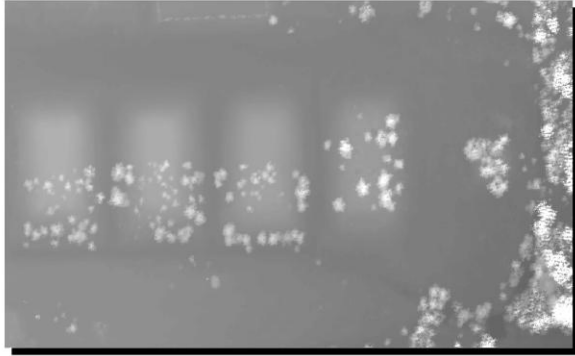
Orthophotograph
1 x 1 m



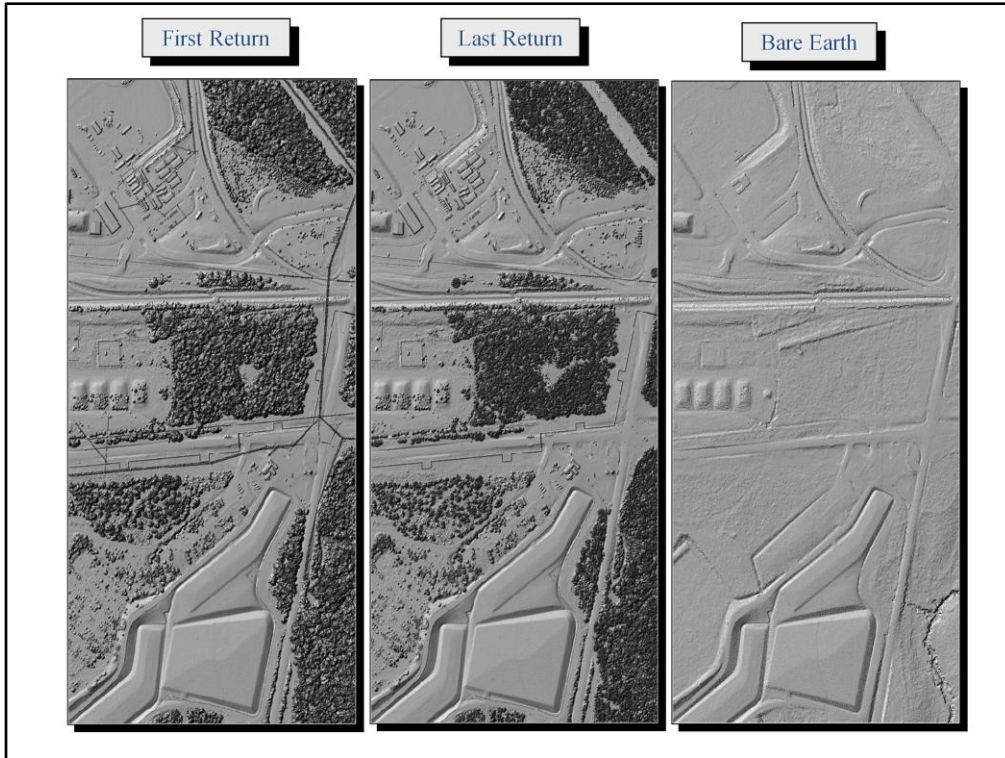
Rasterized First
Return



Rasterized First
Return

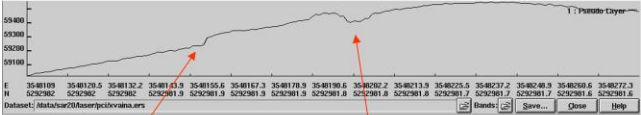
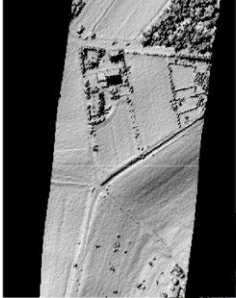


Rasterized Last Return



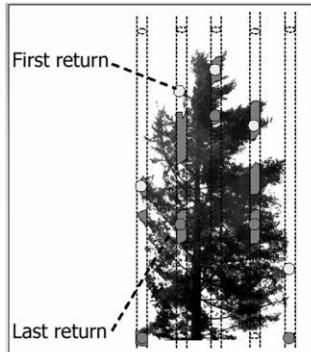
Interpolated lidar data showing the detected returns and the estimated bare earth, derived from last returns.

Lidar Elevation Accuracy

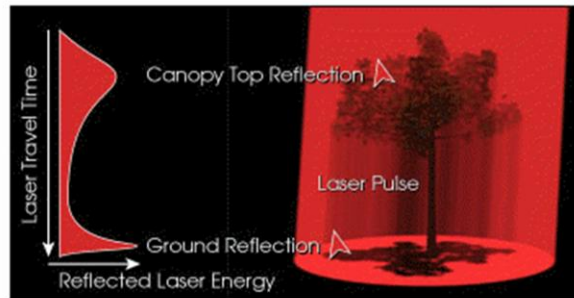


Footprint size

- Small footprints - a few cm
- Large footprints – tens of m



Dubayah, 2003

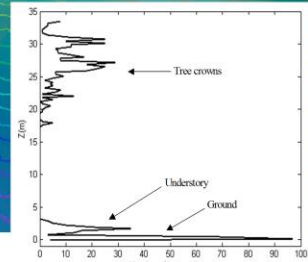
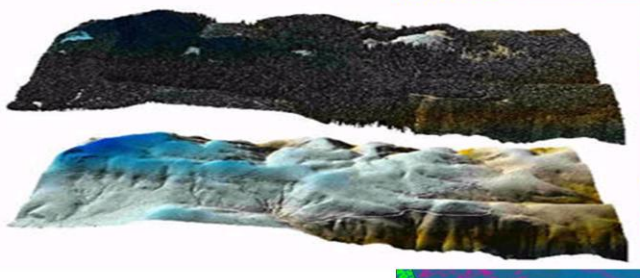


<http://earthobservatory.nasa.gov>

Small Footprint LiDAR

New Ecologically Relevant Data Products:

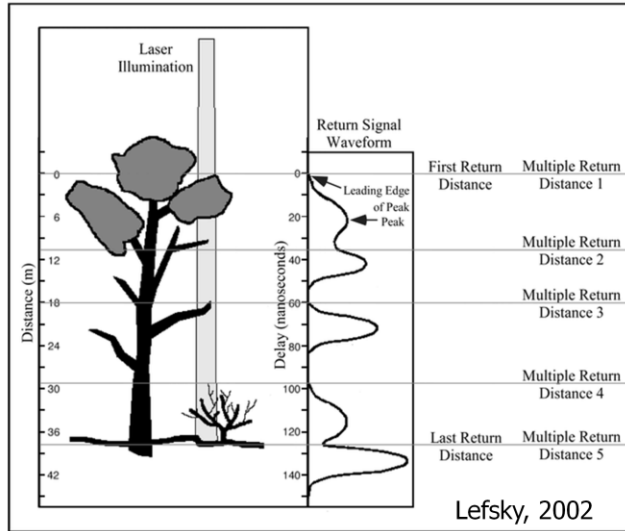
- Vegetation height
- Distribution of structural elements in canopy
- Canopy-top topography
- Biomass
- Life form diversity



Canopy Surface Topography & Bathymetry

Recording capability

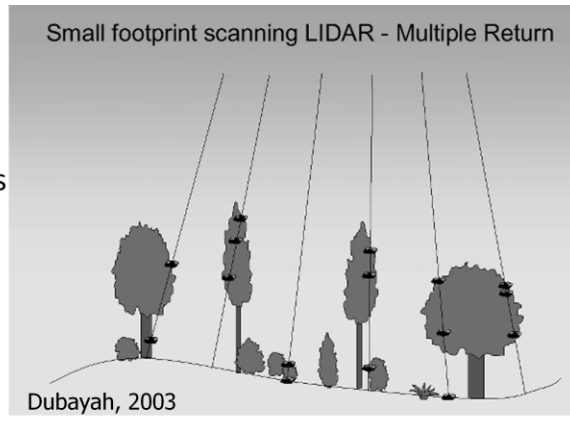
- Discrete pulses
 - First and/or last pulse
 - Up to five pulses
 - with intensity?
- Full waveform



If intensity is being recorded draw just a line for the discrete pulses

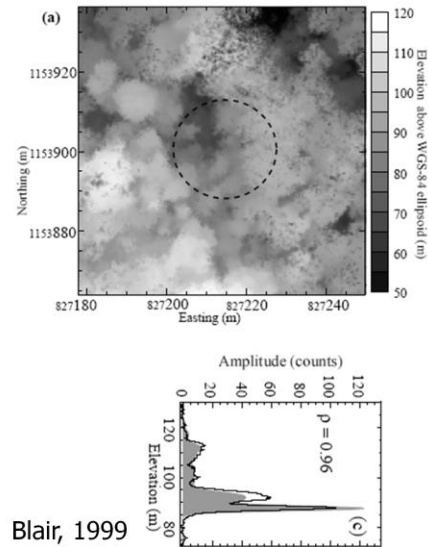
Single versus multiple discrete pulses

- Discrete pulses
 - First and/or last pulse
 - Up to five pulses
- Full Waveform
 - Continuous return pulses



Many small discrete \equiv Large full waveform

- High density small discrete
 - Locate individual trees
 - Locate ground easier
- Large full waveform
 - Less amount of data
- Conversion to frequency
- Gaussian laser intensity
- Example:
 - FLI-MAP
 - 10 cm footprint size
 - 33 cm across and along track spacing
 - LVIS
 - 25 m



Give an example on how to do it.

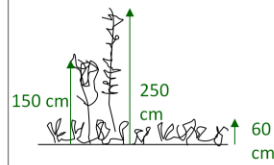
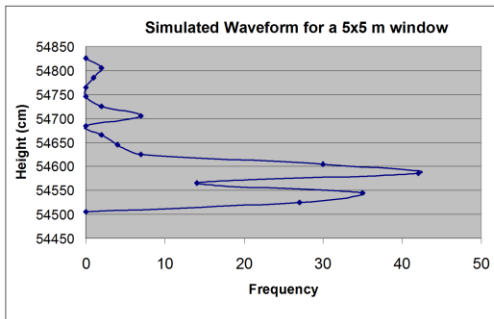
1. Draw in X and Y different small footprints with a specific pattern.
2. Draw a large footprint
3. Draw X and Z
4. Draw intervals every for example 10 cm
5. Draw waveform

Gaussian shape of the laser beam intensity

Why less amount of data

Simulation of large full waveform

- Selection of size of the large full waveform
- Selection of height interval
- Generation of frequency



Draw area

Scanning pattern

- Vertical profiling (no scanner)
- Zig-Zag
 - Oscillating mirror
- Parallel lines
 - Multifaceted mirror
 - Fiber scanner
- Elliptical
 - Nutating mirror (Palmer scan)

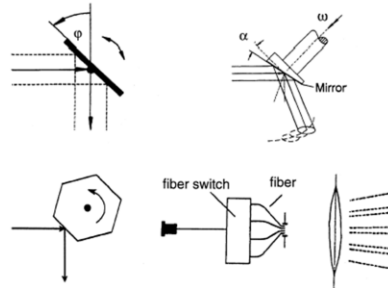
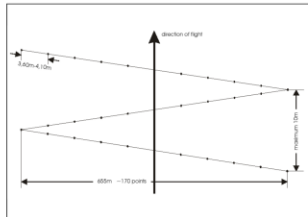


Fig. 6. Scanning mechanisms (from top left, clockwise): oscillating mirror, Palmer scan, fiber scanner, rotating polygon.



Lohmann, 1999

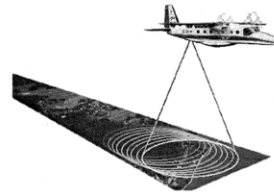
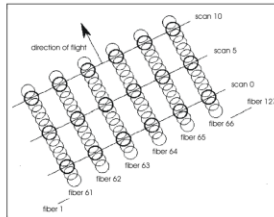


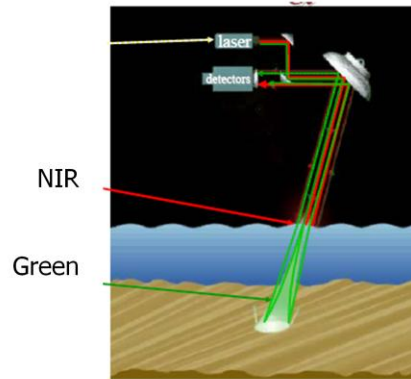
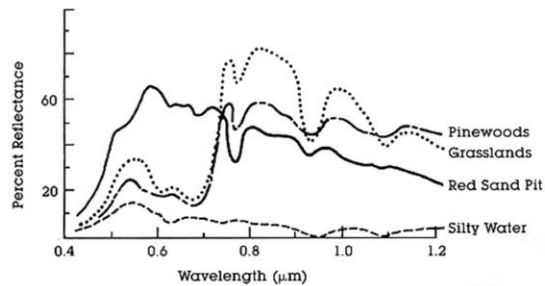
Fig. 10. Progressing Palmer scan.

Wehr, 1999

Surface to measure

- Wavelength (nm)

- 532
- 1047
- 1540



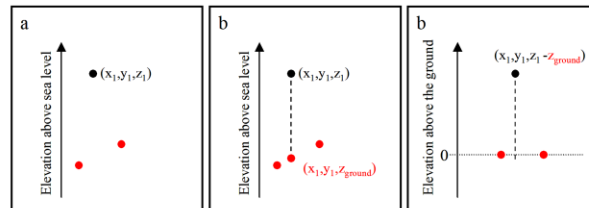
D.Philpot, Cornell University, 2003

Explain that uses NIR for the surface of water and Green for the bottom. Higher reflectance of green means also higher transmittance. So the green transmits better to the bottom of the surface, whereas the NIR absorbs everything and can not reach the bottom
Toposys at 1535 nm less harmful to eye can emit higher power

Aki me quedé

Generation of canopy height of individual laser pulses

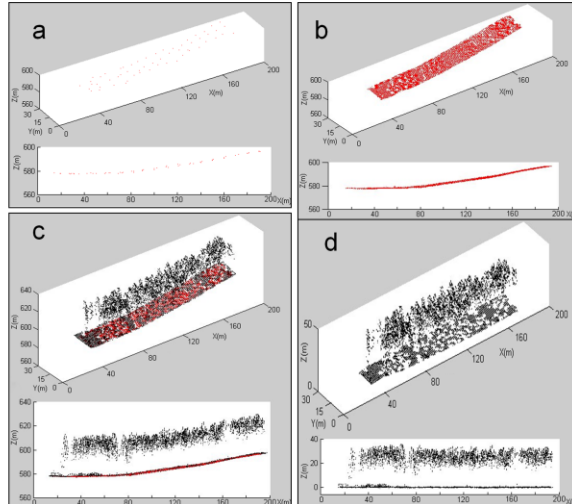
- Point X, Y, Z
- Interpolate: selection of Z_{ground} for X and Y, from DGM or classified ground points
- Canopy height = $Z - Z_{\text{ground}}$



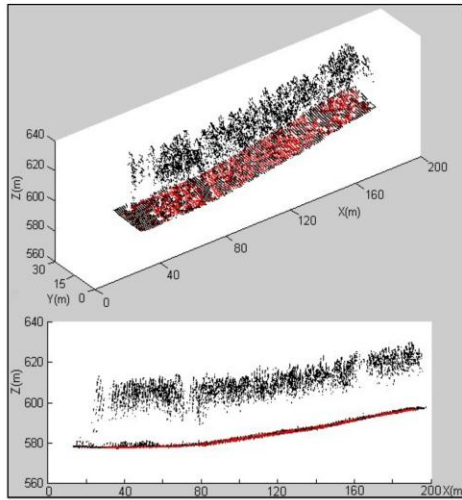
Draw an X and Y graph
Center of pixel of DGM

Generation of canopy height of individual laser pulses

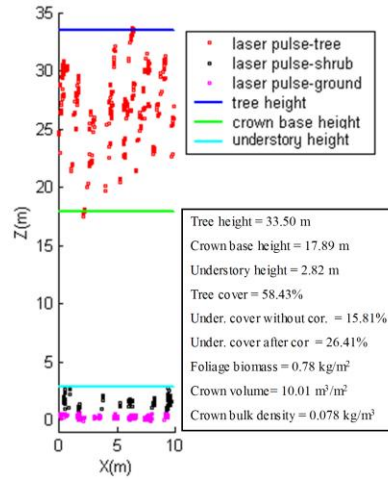
- Ground points
- Interpolate: selection of Z_{ground} for X and Y
- Canopy height = $Z - Z_{\text{ground}}$
- Elevation above ground



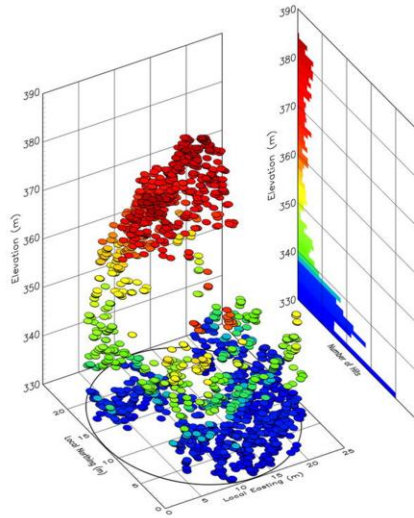
Forest Structure & Biomass from Small Footprint LIDAR



Riano et al., 2004



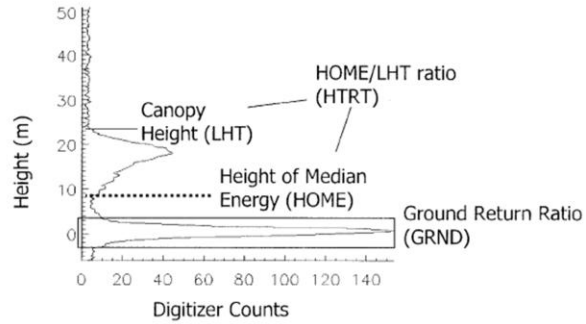
Density of points at each interval



Lefsky, 2002

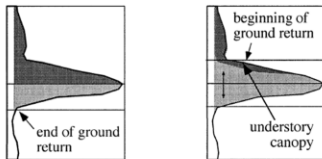
Feature extraction from Lidar

- Large full waveform

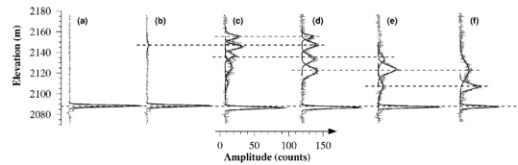


Surface canopy height

- Large full waveform
 - Difficult to obtain from large footprints
 - Bare ground is mixed with surface canopy signals on steep slopes due to the spreading of the ground return
 - Ground from the vegetation signal: copy and flip vertically the lower half of the ground return to define the higher half of the ground return.
 - Surface canopy height: gap between the ground and shrubs
 - Decomposed in Gaussian components: trees, underlying vegetation and ground



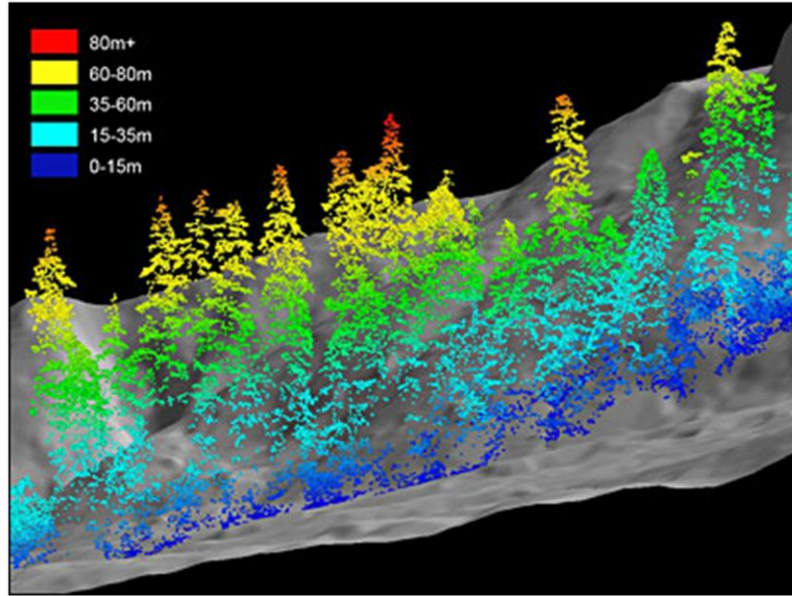
Lefsky, 1999



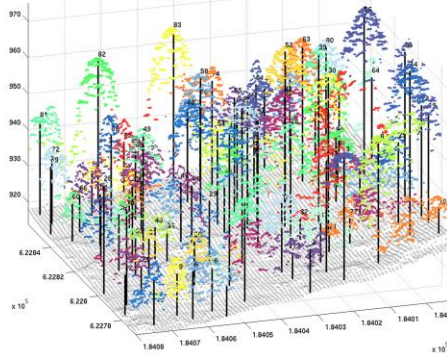
Hofton, 1999

Draw a waveform from the ground and a waveform from the vegetation. Superimpose them. If you do this on homogeneous vegetation the distribution is going to be the same but it will not be if it is heterogeneous. Power greater than the level established by the ground return is assumed to be vegetation canopy. The *LIDAR data for the generation of forest parameters* in the highest part of the gaussian component represents the underlying vegetation would be the surface canopy height. Difficult to separate if more than 3 gaussians

Forest, Blue River, OR

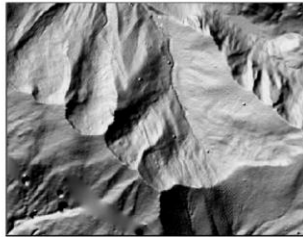


Forest Structure Mapping

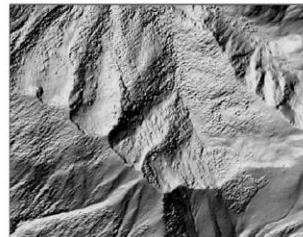


Morsdorf, et al. RSE 2004

LIDAR



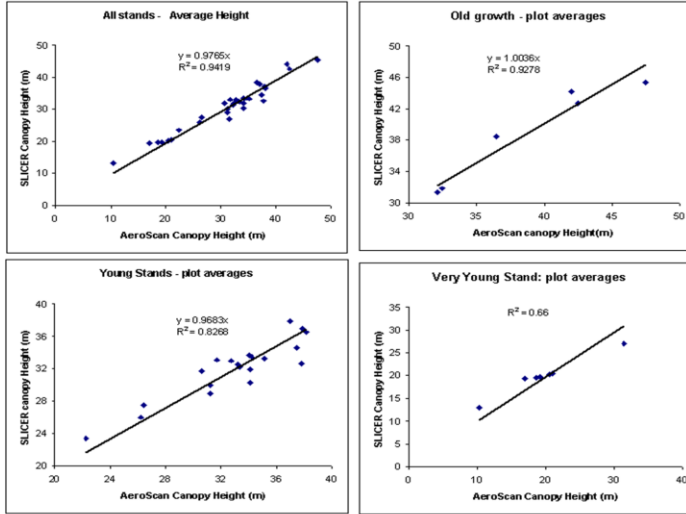
IFSAR



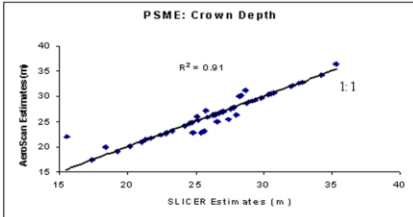
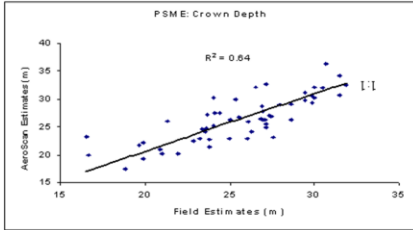
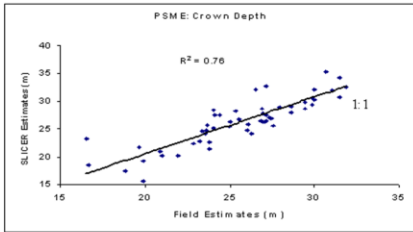
Dubayah, et al. 2003

Drake JB, Knox RG, Dubayah, RO, Clark, DB, Condit, R, Blair, JB, Hofton, M. 2003. Above-ground biomass estimation in closed canopy Neotropical forests using LIDAR remote sensing factors affecting the generality of relationships. *Global Ecology and Biogeography* 12(2) 147-159.

LIDAR Canopy Height Retrievals



Wind River Experimental Forest



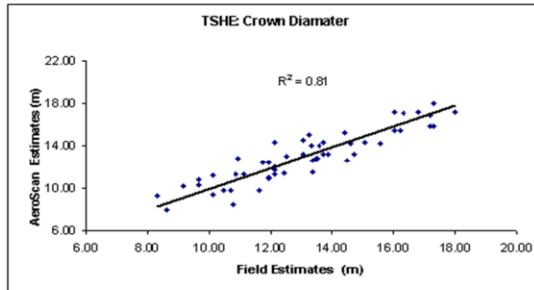
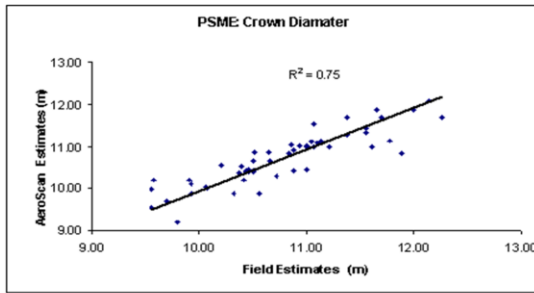
LiDAR Crown Depth PSME: *Pseudotsuga menziesii* at Wind River

1:1
**Large footprint (SLICER) out performs
 Synthetic waveform from small
 foot print (Aeroscan)
 Both match 1:1 line**

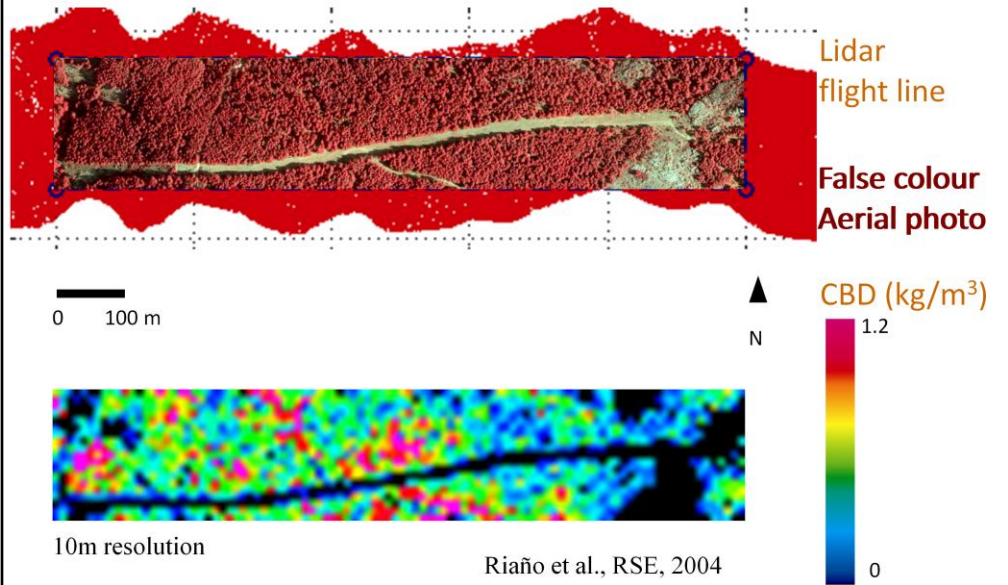
Similar results for TSHE

LIDAR Crown Diameter

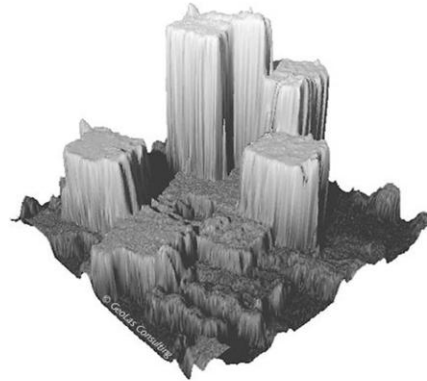
Derived from multi step process
for delineating crowns automatically
from LIDAR



Generation of Crown Bulk Density From These Equations



Urban architecture



<http://www.geolas.com/Pages/Building.html>



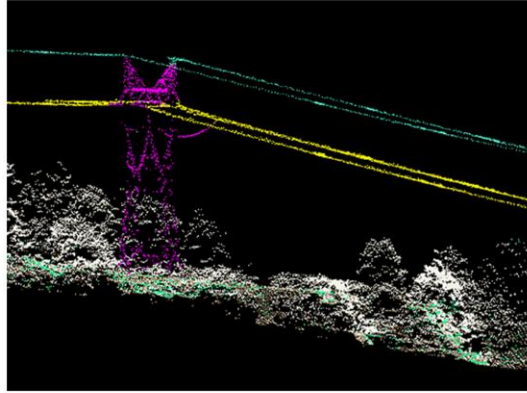
Urban architecture



Manheim, Germany

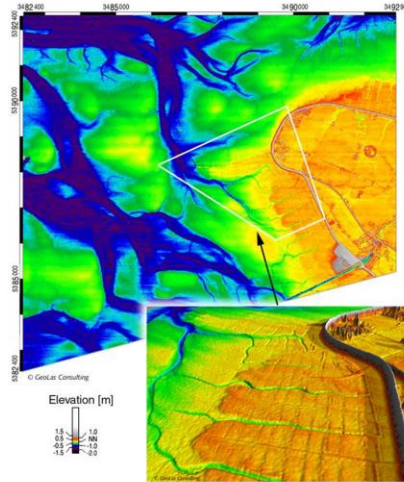
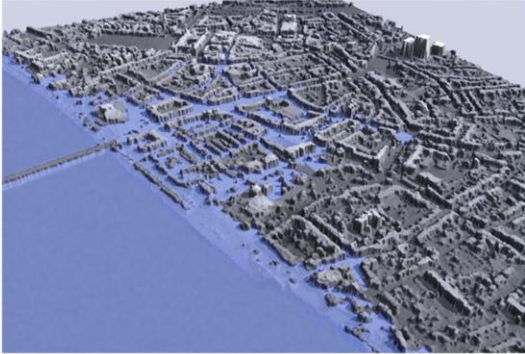
www.toposys.com

Infrastructure



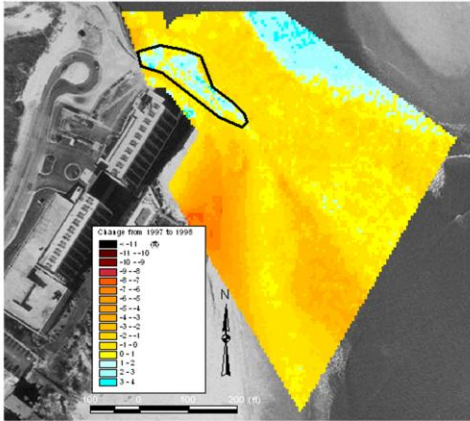
<http://www.helica.it/eng/prod/elettro.asp#>

Floods



<http://www.geolas.com/>

Coastal erosion

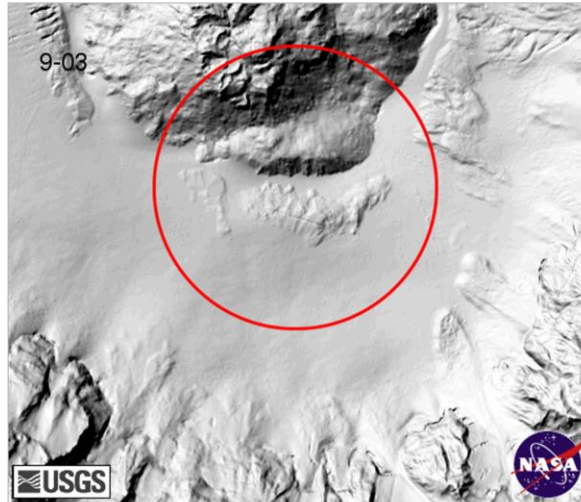


Changes between 1997 and 1998 in coastal area of North Carolina (North Wrightsville Beach)

http://www.csc.noaa.gov/products/nc_haz/htm/lidtopo.htm#lidar

Volcano

- Mt St Helen



<http://vulcan.wr.usgs.gov/Monitoring/LIDAR/framework.html>

Bathymetry

- SHOALS
- Blue-Green from the bottom and NIR from the top
- Blue-Green reflects more but transmits more

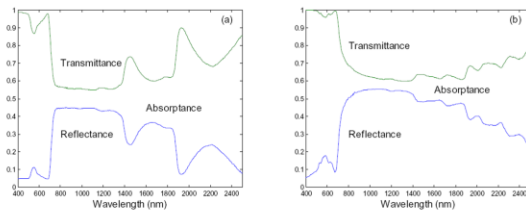
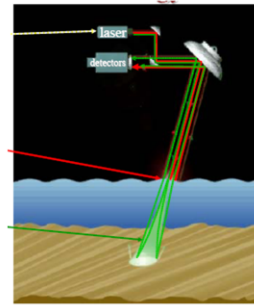


Fig. 2: Reflectance and transmittance spectra of (a) fresh and (b) dry poplar leaves

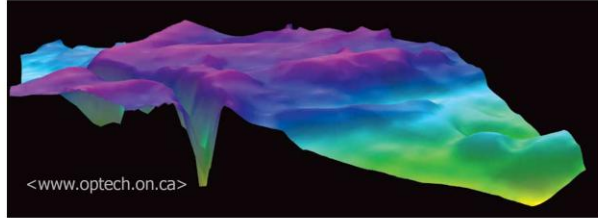
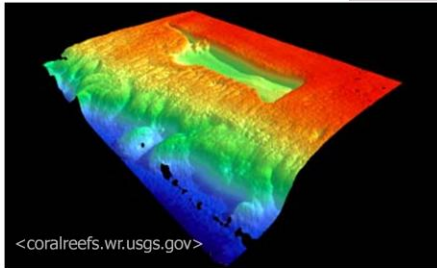
U.S. Army Corps of Engineers <shoals.sam.usace.army.mil>



Draw water spectrum

Bathymetry

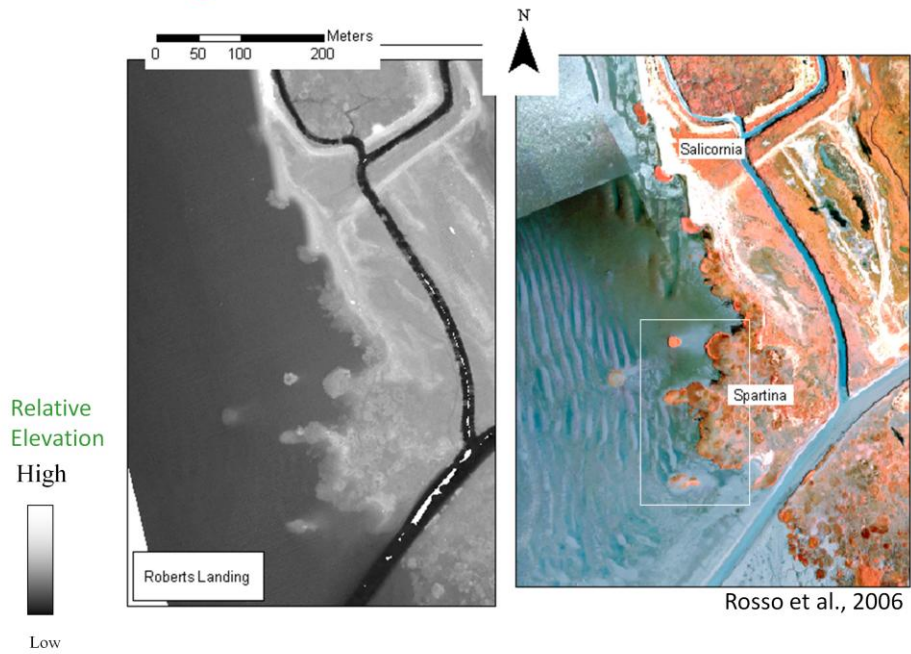
Looe Key, Florida



Molokai, Hawaii

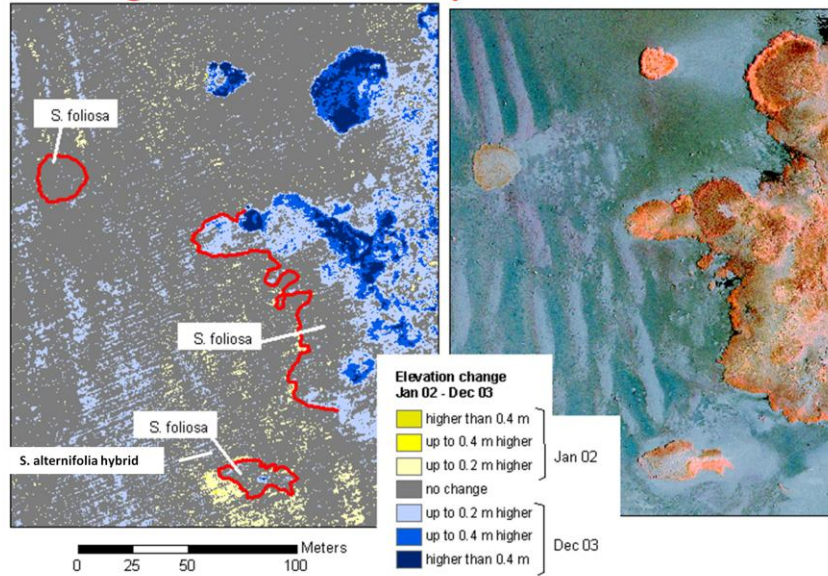
James Goodman

Change Detection in Extent of Salt Marsh



Change Detection

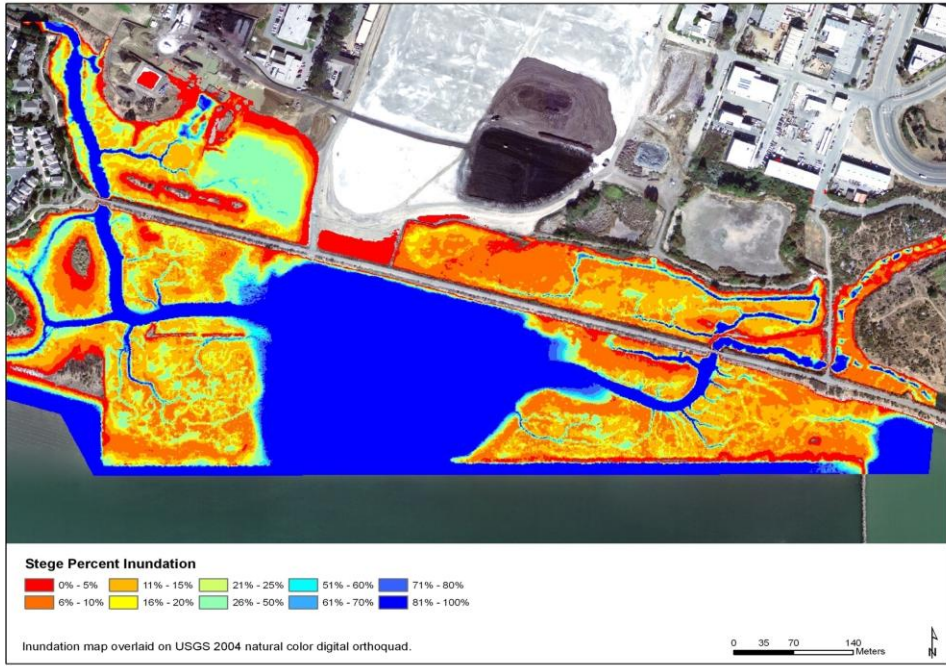
LiDAR Height Difference: January 2002 and December 2003



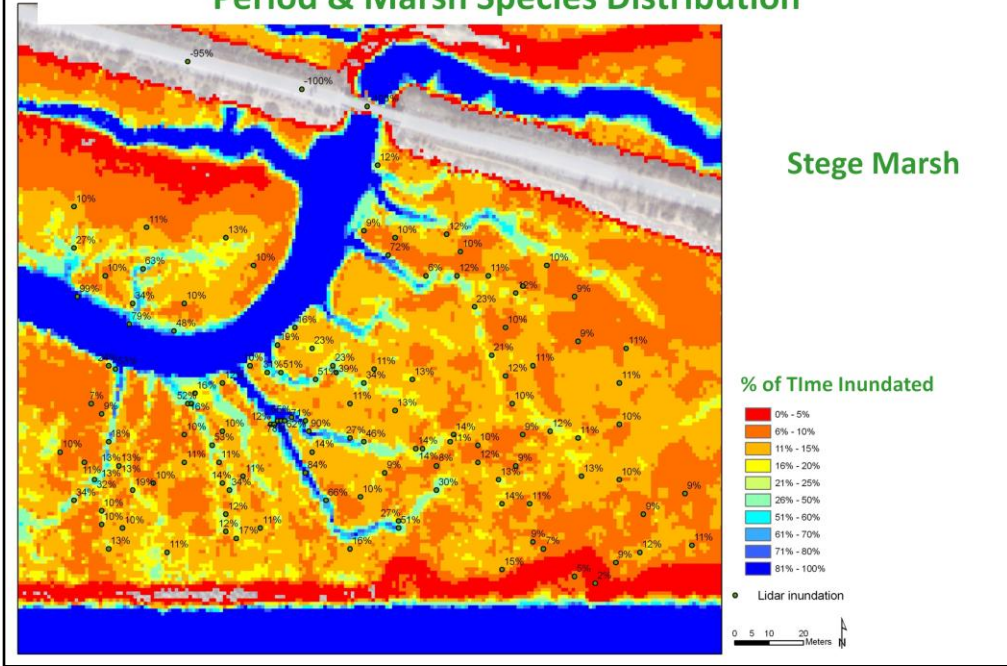
Roberts Landing marsh

Rosso et al., 2006

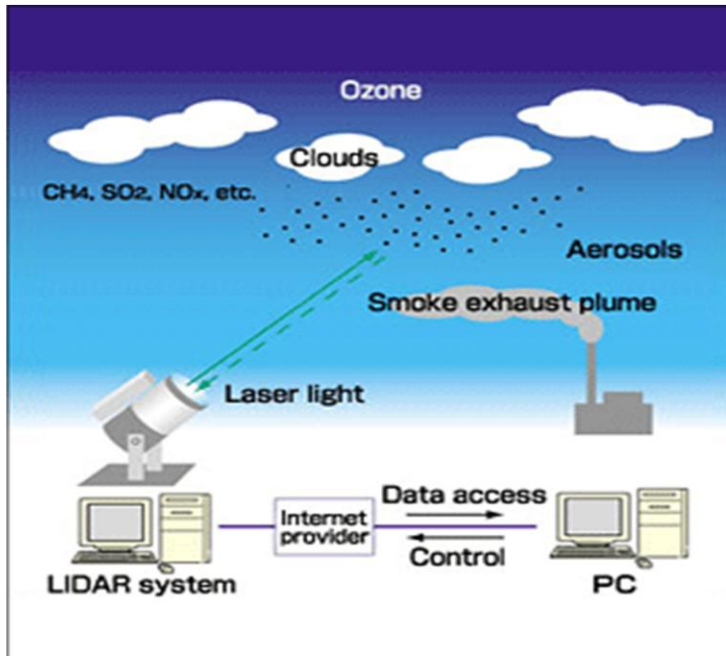
LiDAR Marsh Height: Estimate % Time Inundated



Micro-topography Controls Length of Inundation Period & Marsh Species Distribution



Upward looking LiDAR



Advantages of LiDAR Instruments

- Day/night data acquisition
- Captures detailed vertical and horizontal landscape structure
- Sensitive to height/structure differences in short stature & sparse vegetation
- Relatively inexpensive, lightweight/power instruments
- Use has become common with many vendors
- Most terrestrial lidars flown with bands in the NIR; land surface materials are relatively well understood

Disadvantages of LiDAR Instruments

- Generally need video or images to aid interpretation
- Difficult to identify individual trees in dense vegetation
- Power requirements make satellite operation challenging

What you should know about LiDAR Data

1. Active systems
2. Measurement Principles: nomenclature, wavelengths (bands), backscatter,
3. How do these technologies work? How are signals transmitted?
4. Examples of unique measurements for this technology.